



COMMONWEALTH OF MASSACHUSETTS
EXECUTIVE OFFICE OF ENVIRONMENTAL AFFAIRS
DEPARTMENT OF ENVIRONMENTAL PROTECTION
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MODIFIED PROVISIONAL USE APPROVAL
Pursuant to Title 5, 310 CMR 15.000

Name and Address of Applicant:

Smith & Loveless, Inc.
14040 Santa Fe Trail Drive
Lenexa, KS 66215

Trade name of technology: Smith & Loveless Single Home FAST®, design capacity up to 550 gallons per day, and the Smith & Loveless Modular FAST® (hereinafter the "System"). Schematic drawings illustrating the FAST® systems and a technology checklist are attached and are part of this Approval.

Transmittal Number: 98299 and 98300
Date of Issuance: April 5, 2000, Modified January 27, 2004
Expiration date: January 27, 2009

Authority for Issuance

Pursuant to Title 5 of the State Environmental Code, 310 CMR 15.000, the Department of Environmental Protection hereby issues this Modified Approval to: Smith & Loveless, Inc., 14040 Santa Fe Trail Drive, Lenexa, KS 66215 (hereinafter "the Company"), for Provisional Use in the Commonwealth of Massachusetts for the System described herein. This Modified Approval supercedes and replaces the Provisional Use Approval issued on April 5, 2000 to the Company. Sale and use of the System are conditioned on and subject to compliance by the Company and the owner(s) of each installed system (hereinafter, the "owner(s)" or the "System owner(s)") with the terms and conditions set forth below. Any noncompliance with the terms or conditions of this Approval constitutes a violation of 310 CMR 15.000.

Glenn Haas, Director
Division of Watershed Management
Department of Environmental Protection

Date

I. Purpose

1. The purpose of this Modified Approval is to allow installation and operation of all approvable Systems submitted to the local approving authorities as of the date of this Modified Approval on a Provisional Use basis, in order to further evaluate the capabilities and performance of the System. The specific goals of the further evaluation are to determine:
 - i. if the System is capable of consistently reducing the total nitrogen (TN = TKN+NO₂+NO₃) concentration in the effluent discharged to the soil absorption system (SAS) so that the Department may allow an increase in the loading rate per acre on a General Use basis in areas subject to nitrogen loading limitations,
 - ii. if the System is capable of meeting or exceeding effluent limitations for a Recirculating Sand Filter (RSF) set forth at 310 CMR 15.202 (4), and
 - iii. if at least 90 percent of the installed Systems perform at a level at least equivalent to that of an RSF as set forth in 310 CMR 15.202 (4).
2. With the necessary local permits and local approvals required by 310 CMR 15.000, this Modified Provisional Use Approval authorizes the use and installation of the System in Massachusetts, and requires testing so that the Department can determine whether the System consistently can or cannot function to effectively reduce total nitrogen in the effluent.
3. The System may only be installed on facilities that meet the criteria of 310 CMR 15.286(4) and are approved by the local approving authority.

II. Design Standards

1. The System, a fixed film aeration treatment process, is an aerobic, fixed film process in which the media is 100-percent submerged. In the submerged application, the aeration and mixing are provided by an air lift mechanism. In the center of the System is a draft tube, which extends through the media. Air is pumped into the draft tube by a compressor, which results in the wastewater being pumped from below the media to the top of the submerged media.
 - i. The pumped liquid hits the splash plate, which directs the wastewater across the top of the submerged media. The splash plate also creates the break-up of the wastewater into droplets to increase the surface area of the liquid to enhance the aeration of the wastewater.
 - ii. The oxygenated wastewater then passes down through the media where the bacterial activity takes place. The System uses both fixed film and suspended bacteria in the degradation of the organic material.
 - iii. The System is designed such that plastic or epoxy coated steel modular inserts are installed in an appropriately sized precast or cast-in-place concrete tank. The inserts are constructed of PVC or high density

- polyethylene plastic in modular units with the number of units and the tank size governed by the wastewater design flow and characteristics.
- iv. Modular System, is contained in a separate tank. Septic tank effluent discharges into the System where it is aerated using a blower. Biomass settles to the bottom of the tank and clarified liquid is discharged to the SAS. Biomass is recirculated to the anoxic septic tank to reduce total nitrogen.
2. The Modular System shall be installed in series between the septic tank and the soil absorption system (SAS) of a standard Title 5 system in a manner which neither intrudes on, replaces a component of, or adversely affects the operation of a Title 5 system as constructed in accordance with 310 CMR 15.000, subject to the provisions of this Approval. The Single Home System, with a design flow up to 550 gpd, shall be installed in the second compartment of a two compartment septic tank with a total liquid capacity of at least 1500 gallons and shall be discharge to a standard Title 5 soil absorption system (SAS) constructed in accordance with 310 CMR 15.000.
 3. New Construction: In accordance with 310 CMR 15.217(2), an increase in calculated allowable nitrogen loading per acre is allowed for facilities *with a design flow of less than 2000 gallons per day (gpd)*, with the use of the System in areas subject to nitrogen loading limitations, 310 CMR 15.214. When used in such areas:
 - i. for residential facilities, the design flow shall not exceed **660** gallons per day per acre (gpda), and the total nitrogen (TN) concentration in the effluent shall not exceed 19 milligrams per liter (mg/L),
 - ii. for non-residential facilities, the design flow shall not exceed **550** gpda, and the total nitrogen concentration in the effluent shall not exceed 25 mg/L .
 4. New Construction 2,000 gpd to less than 10,000 gpd: For all facilities with design flows of 2,000 gpd to less than 10,000 gpd, the design flow shall not exceed 440 gpda and the System shall not exceed 25 mg/L TN concentration in the effluent.

III. General Conditions

1. All provisions of 310 CMR 15.000 are applicable to the use and operation of this System, the System Owner and the Company, except those that specifically have been varied by the terms of this Modified Approval.
2. This Modified Approval shall be binding on the System Owner and on its agents, contractors, successors, and assigns, and the Company and its officers, employees, agents, contractors, successors, and assigns. Violation of the terms and conditions of this Modified Approval by any of the foregoing persons or entities, respectively, shall constitute violation of this Modified Approval by the System owner or the Company unless the Department determines otherwise.

3. Any required operation and maintenance, monitoring and testing shall be performed by the Company or its approved operators in accordance with a Department approved plan. Any required sample analysis shall be conducted by an independent U.S. EPA or Department approved testing laboratory, or a Department approved independent university laboratory, unless otherwise approved by the Department in writing. It shall be a violation of this Modified Approval to falsify any data collected pursuant to an approved testing plan, to omit any required data or to fail to submit any report required by such plan.
4. The facility served by the System and the System itself shall be open to inspection and sampling by the Department and the local approving authority at all reasonable times.
5. In accordance with applicable law, the Department and the local approving authority may require the System owner(s) to cease operation of the system and/or to take any other action deemed necessary to protect public health, safety, welfare and the environment.
6. The Department has not determined that the performance of the System will provide a level of protection to public health, safety, welfare and the environment that is at least equivalent to that of a sanitary sewer system. Accordingly, no System shall be upgraded or expanded, if it is feasible to connect the facility to a sanitary sewer, unless as allowed by 310 CMR 15.004.
7. Design, installation and operation shall be in strict conformance with the Company's approved plans and specifications, 310 CMR 15.000 and this Modified Approval.
8. The System is approved in connection only with the discharge of sanitary wastewater. Any non-sanitary wastewater generated or used at the facility served by the System shall not be introduced into the System and shall be lawfully disposed of.
9. All effluent samples shall be taken at a flowing discharge point, i.e.- distribution box, pipe entering a pump chamber or other location from the treatment unit approved by the Department in writing. Any required influent sample shall be taken at a location that will provide a representative sample of the influent. Influent sample locations shall be determined by the System designer and the Company and shall be selected so that the influent characteristics are not changed by the System recycle.
10. Effluent discharge concentrations shall meet or exceed secondary treatment standards of 30 mg/L carbonaceous biochemical oxygen demand (CBOD₅) and 30 mg/L total suspended solids (TSS).
11. For Systems installed at residential facilities with design flows less than 2,000 gpd, TN concentration in the System effluent shall not exceed 19 mg/L. For Systems installed at all non-residential facilities and residential facilities with design flows 2,000 gpd or greater, TN concentration in the System effluent shall not exceed 25 mg/L.

IV. Conditions Applicable to the System Owner

1. Prior to installation of the System, the proposed owner shall obtain a Disposal System Construction Permit in accordance with 310 CMR 15.020 from the local approving authority. The application to the local approving authority shall include a certification signed by the Company or its designee that the System has been designed in accordance with the Company's requirements, 310 CMR 15.000 (Title 5) and this Modified Approval. The Certification shall be consistent with the model attached hereto as Exhibit A. This Company certification in no way changes the requirements of 310 CMR 15.220 (1) and (2). Department approval of the System design is not required unless the Department determines on a case-by-case basis pursuant to its authority at 310 CMR 15.003(2)(e) that the proposed System requires its review.
2. The System owner shall at all times have the System properly operated and maintained in accordance with this Modified Approval, the designer's operation and maintenance requirements and the Company's Department approved procedures and sampling protocols.
3. Operation and Maintenance agreement:
 - i. Throughout its life, the System shall be under an operation and maintenance (O&M) agreement. The System owner shall be responsible for maintaining a contract with the Company or the Company's approved operation and maintenance contractor throughout the Provisional Use Approval period unless and until the System is Certified for General Use by the Department. Subsequent to General Use Certification of the system, O&M agreements shall be for at least one year and may be with any Massachusetts certified operator of the appropriate grade that has received training by the Company on the operation of the System.
 - ii. No System shall be used until an O&M agreement is submitted to the local approving authority which:
 - a. provides for the contracting with the Company or a Company approved operation and maintenance contractor that has been trained by the Company to operate the System consistent with the System's specifications and any additional operation and maintenance requirements specified by the designer, the local approving authority, or the Department;
 - b. contains procedures for notification to the Department and the local approving authority within five days of knowledge of a System failure and for corrective measures to be taken immediately;
 - c. contains a plan to determine the cause of effluent total nitrogen limit violations that occur any time after the first three months of operation, if such violations occur on two consecutive sampling events;

- d. provides the name of an operator, which must be a Massachusetts certified operator if one is required by 257 CMR 2.00, that will operate and monitor the System (hereinafter the "System operator"). The System operator must inspect and operate and maintain the System at least every three months and anytime there is an alarm event for residential facilities with a design flow less than 2,000 gpd and, unless otherwise approved in writing by the Department, at least monthly for facilities with a design flow 2,000 gpd or greater and all non-residential facilities.
4. Anytime the System operator is changed, within seven days of such change, the System owner shall notify the local approving authority and Company in writing and submit a copy of the new agreement to operate and monitor the System to the local approving authority and the Company. The new operator must have received Company approved training on the System.
5. The System owner shall furnish the Department or the local approving authority any information, which either entity may request regarding the System, within 21 days of the date of receipt of that request.
6. Prior to transferring any or all interest in the facility served by the System, or any portion of the facility, including any possessory interest, the System owner shall provide written notice of all conditions contained in this Approval to the transferee(s). Any and all instruments of transfer and any leases or rental agreements shall include as an exhibit attached thereto and made a part thereof a copy of this Modified Approval for the System.
7. For year round residential facilities with design flows less than 2,000 gpd, effluent from the System shall be monitored at least once per calendar quarter. Any sample collected within 60 days or more than 90 days of a previous sample shall not be considered a required quarterly sample. For all non-residential facilities and residential facilities with design flows of 2,000 gpd or greater, both influent and effluent shall be monitored monthly. The following parameters shall be monitored: pH, influent BOD₅, effluent CBOD₅, TSS, alkalinity and TN. Each time the System is monitored, the water meter, if a water meter is installed, shall be read and the water use recorded.
8. For seasonal residential facilities where the residence is occupied fewer than six months per year, effluent from the System shall be monitored twice per season; initially 45 days after occupancy, and if the residence is occupied during an additional calendar quarter, once during that following quarter prior to System shut down. The following parameters shall be monitored: pH, CBOD₅, TSS, TN and alkalinity. Each time the System is monitored, the water meter, if a water meter is installed, shall be read and the water use recorded.
9. Prior to the issuance of a Certificate of Compliance for the System, the System owner shall record and/or register in the appropriate Registry of Deeds and/or Land Registration Office, a Notice disclosing the existence of the alternative septic system subject to this Approval on the property. If the property subject to the Notice is unregistered land, the Notice shall be marginally referenced on the

owner's deed to the property. Within 30 days of recording and/or registering the Notice, the System owner shall submit the following to the local approving authority: (i) a certified Registry copy of the Notice bearing the book and page/instrument number and/or document number; and (ii) if the property is unregistered land, a Registry copy of the owner's deed to the property, bearing the marginal reference.

10. Prior to the issuance of a Certificate of Compliance for the System, the Company shall submit to the local approving authority and the System owner a signed certification that the System has been installed in accordance with the Company's requirements and this Modified Approval. This certification in no way changes the requirements of 310 15.021(3). The System owner shall not make any changes to the System including landscaping that changes access to the System without the approval of the Company and the local approving authority.

V. Conditions Applicable to the Company

1. By March 1st of each year, the Company shall submit an annual report to the Department signed by a corporate officer, general partner or Company owner that contains all sampling and inspection information collected on the System for the previous calendar year and presents a report on the System's capability to meet the Modified Approval's effluent requirements. The report shall include the following information:
 - i. Details on total number of units of the System sold for use in Massachusetts during the previous year; the address of each installed System, the owner's name and address, the type of use (e.g. residential, commercial, school, institutional) and the design flow and model;
 - ii. Date when system was installed and started up;
 - iii. Tabulation of the sampling parameters and results with backup inspection and laboratory sheets available upon request;
 - iv. Statistical analysis of the sampling results including but not limited to average and mean values with the percentage of systems that are meeting the effluent limits compared to the systems that are out of compliance;
 - v. Tabulation of systems that are out of compliance, reasons for non-compliance and any corrective action taken including but not limited to design, installation and/or operation or maintenance changes required to reach compliance;
 - vi. The inspection results recorded on a Department approved inspection form and a technology checklist, copies of which are attached to this Modified Approval. The forms must be completed by the System operator and submitted to the Department with the annual report.
 - vii. A general summary of the results for the year, any recommended changes to the design, installation and/or operation and maintenance procedures and a schedule for implementing those changes; and

- viii. The three year report on the operation of the System shall be prepared as required by item 8 below.
- 2. The Company shall notify the Director of the Watershed Permitting Program at least 30 days in advance of the proposed transfer of ownership of the technology for which this Modified Approval is issued. Said notification shall include the name and address of the proposed new owner and a written agreement between the existing and proposed new owner containing a specific date for transfer of ownership, responsibility, coverage and liability between them. All provisions of this Modified Approval applicable to the Company shall be applicable to successors and assigns of the Company, unless the Department determines otherwise.
- 3. The Company shall make available to owners, operators, designers and installers of the System, in printed and electronic format: minimum installation requirements; an operating manual, including information on substances that should not be discharged to the System; a protocol for collecting samples; a maintenance checklist; and a recommended schedule for maintenance of the System.
- 4. The Company shall institute and maintain a program of operator training and continuing education. The Company shall maintain and annually update, and make the list of qualified operators available by March 1st of each year. The Company shall also make the list known to the local approving authorities, the Department and users of the technology.
- 5. The Company or its designee shall conduct a review of the System prior to the sale of any unit to ensure that the proposed use of the System is consistent with the unit's capabilities and shall certify in writing, as described in paragraph IV.1. above, that the intended use conforms to this Modified Approval and any requirements of the Company and submit a copy of that certification to the local approving authority and the System owner.
- 6. Prior to the issuance of a Certificate of Compliance for the System, the Company or its designee shall conduct an inspection of the facility prior to system startup and certify in writing to the local approving authority and the System owner that the unit has been installed in accordance with the Company's requirements and this Modified Approval. The Certification shall be consistent with the model attached hereto as Exhibit B. This certification in no way changes the requirements of 310 CMR 15.021(3).
- 7. The Company or the Company's approved operation and maintenance contractor shall maintain a contract with the System owner throughout the Provisional Use Modified Approval period until the System is Certified for General Use by the Department that:
 - a. provides for operating and maintaining the System with an operator that has been trained by the Company to operate the System consistent with the System's specifications and any

- additional operation and maintenance requirements specified by the designer or by the Department;
- b. contains procedures for notification to the System owner, the Department and the local approving authority within five days of knowledge of a System failure and for corrective measures to be taken immediately;
 - c. contains a plan to determine the cause of effluent limit violations for total nitrogen excluding the first three months of operation, if such violations occur on two consecutive sampling events; and
 - d. provides the name of an operator, which must be a Massachusetts certified operator if one is required by 257 CMR 2.00, that will operate and monitor the System (hereinafter the "System operator"). The System operator must inspect and operate and maintain the System at least every three months and anytime there is an alarm event for residential facilities with a design flow less than 2,000 gpd and, unless otherwise approved in writing by the Department at least monthly for facilities with a design flow 2,000 gpd or greater and for all non-residential facilities
8. The Company shall conduct a performance evaluation in accordance with 310 CMR 15.286(6) starting after at least 50 systems have been installed under this approval issued to the Company or to Bio-Microbics, Inc. or for prior versions of these approvals and operating for at least three years. In those cases where the Company also installed and collected operating results from Pilot Use Systems or other Systems located in areas not defined as DEP nitrogen sensitive areas, the results from those Systems can be used in the 50 System total, provided that the Company can document that the models installed are the same models this Approval applies to, and that inspection and sampling was conducted in accordance with this Approval, and that the results were collected over a three year period. A report shall be submitted to the Department no more than 180 days beyond the three year period evaluating whether at least 90 percent of the units installed for at least three years are meeting the effluent limits as presented in Section III items 10 and 11 and describing any changes in the design, installation and/or operation or maintenance that have been or will be taken to meet the 90 percent target. If the System does not meet the 90 percent requirement, the report shall detail the changes that must be made in design, installation and/or operation or maintenance to meet the goal and include a schedule containing a deadline for implementing those changes.
9. The Company shall furnish the Department any information that the Department requests regarding the System within 21 days of the date of receipt of that request.
10. The Company shall include copies of this Modified Approval with each System that is sold. In any contract executed by the Company for distribution or re-sale of the System, the Company shall require the distributor or re-seller to provide each purchaser of the System with copies of this Modified Approval

11. If the Company wishes to continue this Modified Approval beyond its expiration date, the Company shall apply for and obtain a renewal of this Modified Approval. The Company shall submit a renewal application at least 180 days before the expiration date of this Modified Approval, unless written permission for a later date has been granted in writing by the Department. This Modified Approval shall continue in force until the Department has acted on the renewal application.
12. The Department may require the Company to perform evaluations of system performance, conduct tests, and take corrective action when, based upon a preponderance of the available data and information, it is necessary to take such actions to ensure technology performance complies with this Modified Approval.

VI. Reporting

1. All notices and documents required to be submitted to the Department by this Modified Approval shall be submitted to:

Director
Watershed Permitting Program
Department of Environmental Protection
One Winter Street - 6th floor
Boston, Massachusetts 02108

2. All inspection forms and sampling results collected by Operation and Maintenance contractors shall be submitted to both the Department and the Company.

VII. Rights of the Department

1. The Department may suspend, modify or revoke this Modified Provisional Use Approval for cause, including, but not limited to, non-compliance with the terms of this Modified Approval, non-payment of the annual compliance assurance fee, for obtaining the Modified Approval by misrepresentation or failure to disclose fully all relevant facts or any change in or discovery of conditions that would constitute grounds for discontinuance of the Modified Approval, or as necessary for the protection of public health, safety, welfare or the environment, and as authorized by applicable law. The Department reserves its rights to take any enforcement action authorized by law with respect to this Modified Approval and/or the System against the System owner, System operator, and/or the Company.

VIII. Expiration date

1. Notwithstanding the expiration date of this Modified Approval, any System sold and installed prior to the expiration date of this Modified Approval or any continuation of this Modified Approval, that is approved, installed and maintained

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in compliance with this Modified Approval (as it may be modified) and 310 CMR 15.000, may remain in use unless the Department, the local approving authority, or a court requires the System to be modified or removed, or requires discharges to the System to cease.

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